

3733



Please Direct All Correspondence to Customer Number **20995**

TRANSMITTAL LETTER

INFORMATION DISCLOSURE STATEMENT

Applicant : Luis Marquez Alvarez
 App. No : 10/584,812
 Filed : June 27, 2006
 For : VERTEBRAL FIXATION DEVICE FOR
 THE TREATMENT OF
 SPONDYLOLISTHESIS
 Examiner : Unassigned
 Art Unit : 3733

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 9, 2006

(Date)

Brent C. Moore, Reg. No. 55,461

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

(X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:

- (X) Listing 5 references.
- (X) Enclosing 2 references.

(X) Return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Brent C. Moore
 Registration No. 55,461
 Agent of Record
 Customer No. 20,995
 (619) 235-8550



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 P.O. Box 1450
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Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 5 references to be considered by the Examiner. Also enclosed are 2 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required. If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

By:

Brent C. Moore
 Registration No. 55,461
 Agent of Record
 Customer No. 20,995
 (619) 235-8550

Dated: November 9, 2006

Information Disclosure Statement by Applicant

Multiple sheets used when necessary)

SHEET 1 OF 1

| | |
|----------------------|----------------------|
| Application No. | 10/584,812 |
| Filing Date | June 27, 2006 |
| First Named Inventor | Luis Marquez Alvarez |
| Art Unit | 3733 |
| Examiner | Unassigned |
| Attorney Docket No. | HERR14.001APC |

U.S. PATENT DOCUMENTS

| Examiner Initials | Cite No. | Document Number Number - Kind Code (if known) Example: 1,234,567 B1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear |
|-------------------|----------|---|--------------------------------|-------------------------------|--|
| | 1 | 5,882,350 A | 03-16-1999 | Ralph et al. | |
| | 2 | 2002/0143341 A1 | 10-03-2002 | Biedermann et al. | |
| | 3 | 6,063,090 A | 05-16-2000 | Schläpfer | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials | Cite No. | Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1 | Publication Date MM-DD-YYYY | Name of Patentee or Applicant | Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear | T ¹ |
|-------------------|----------|--|--------------------------------|-------------------------------|--|----------------|
| | 4 | MX 9910355 A | 04-30-2000 | Synthes AG CHUR | | Abstract only |
| | 5 | EP 1 210 914 B1 | 05-11-2005 | Traiber, S.A. | | ✓ |

NON PATENT LITERATURE DOCUMENTS

| | | | |
|-------------------|----------|---|----------------|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ¹ |
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| Examiner Signature | Date Considered |
| <p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | |

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